

- aggregated, exceeds \$5,000, or when the Investigator (or the Investigator's Immediate Family) holds any equity interest (e.g., stock, stock option, or other ownership interest); or
- (iii) Intellectual property rights and interest (e.g., patents, copyrights), upon receipt of income related to such rights and interests.
- (2) Investigators also must disclose the occurrence of any reimbursed or sponsored travel (i.e., that which is paid on behalf of the Investigator and not reimbursed to the Investigator so that the exact monetary value may not be readily available), related to their institutional responsibilities; provided, however, that this disclosure requirement does not apply to travel that is reimbursed or sponsored by a Federal, state, or local government agency, an institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an institution of higher education. The institution's FCOI policy will specify the details of this disclosure, which will include, at a minimum, the purpose of the trip, the identity of the sponsor/organizer, the destination, and the duration. In accordance with the institution's FCOI policy, the institutional official(s) will determine if further information is needed, including a determination or disclosure of monetary value, in order to determine whether the travel constitutes an FCOI with the grant-funded research.
- (3) The term significant financial interest does not include the following types of financial interests: salary, royalties, or other remuneration paid by the institution to the Investigator if the Investigator is currently employed or otherwise appointed by the institution, including intellectual property rights assigned to the institution and (s an)2(F)3.6(7.6) agreements to share in royalties related to such rights; any ownership interest in the institution held by the Investigator, if the institution is a commercial or for-profit organe I

<u>Disclosure</u>

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	The Investigator has <u>not</u> reported a Significant Financial Interest, and, to the best of my knowledge, no conflict o interest exists.				
	The Investigator has reported a Significant Financial Interest, and, to the best of my knowledge, no conflict o interest exists.	f			
	The Investigator has reported a Significant Financial Interest, and a conflict of interest that warrants further review may exist.				
DATE	E DEAN'S SIGNATURE				

(Dean's Office: Please return the original form to the Office of Sponsored Programs and send a copy to the Investigator)